	UNIVERSITY OF WISCONSIN - MADISON
	EXPORT CONTROL 1225 W. Dayton St., AOSS Bldg. Madison, Wisconsin 53706 (608) 262-8659

Frequently Asked Questions (FAQs)
WISPER Export Control Process

PROCESS

1. **Does the screening tool apply to all new awards?** Answer: Yes, at a minimum, Compliance Question 8 will need to be answered for all proposals submitted after June 10, 2013. The Export Control questions on the Projects Tab only apply to new awards; they do not apply to Material Transfer Agreements or Fee-For-Service Agreements.

WISPER SCREENING TOOL

2. **Will conflicting Export answers between the General Tab and the Project Tab be noted when we "submit to validate" the record?** Answer: A "conflict" report tool has been implemented that shows instances where the answer to Compliance Question 8 on the General Tab is "Yes", and one or both of the questions on the Projects Tab are not answered. Export Control staff will be handling these items outside of WISPER. This will not delay proposal submission or award setup.

3. **With regards to the approval type on the approval tab, are we able to use the approvals to ask someone in the Export Control Office to look at the documents and help us with questions we might have? Or will we need to send an email to Export Control outside of the WISPER system to start a conversation with them?** Answer: The approvals in WISPER could be used anytime before the award is setup (generated or finalized). Basically, if you have question on how to answer a question, you could send an approval to the Export Control office or your Dean's office. After award setup, it is recommended to send an e-mail with your question to: exportcontrol@grad.wisc.edu

4. **Will this process prevent me from being able to submit a proposal?** Answer: No. Just like the other compliance questions, you must answer Compliance Question 8 before you can sign the WISPER record. However, Compliance Question 8 is a simple question to answer, and, even if you don't, there are mechanisms for submitting a proposal without signing the WISPER record.

5. **Will this process prevent me from being able to set up an award?** Answer: No. The process is designed so that setting up an award is not affected by how (or if) the two export control questions are answered. However, as mentioned earlier, the Export Control Office is notified when Compliance Question 8 is answered "Yes", the record has been moved to status 6, and one or both of the Export Control questions on the Projects Tab have not been answered. The Export Control Office will follow-up with the PI on these "incomplete" reports.

FULL ASSESSMENT FORM

6.   **How will I know if the PI needs to complete a Full Project Assessment for a specific project?** Answer: Full Export Control Assessment will be triggered when Compliance Question 8 on the General Tab and the two questions on the Projects Tab are all "Yes". When this happens, the PI, Dean's Office, Department and Export Control Office will receive an automatic notification that a Full Assessment is required. The Full Assessment happens outside of WISPER.

7.   **What is Restricted Party Screening and why do we need to use it?** Answer: The federal government generates lists of persons and organizations with which it does not want us conducting business. These lists include people/organizations affiliated with terrorism, criminal, drug trafficking, nuclear proliferation and restricted export activities. Restricted Party Screening is a means to quickly check if any of the people/organizations involved in a project are on any of the government's restricted party lists.