Export Control Program

Introduction To Export Control

University of Wisconsin - Madison

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What is Export Control?

- *Export control consists of federal laws and regulations that control certain commodities, technologies, information and data*

- *Limits what can be shipped out of the country and to whom it can be shipped*

- *Can limit access to information for certain foreign nationals and/or organizations*

- *Determines when a license is required to ship items or information abroad*

Purpose of the Regulations

- *To control the export of military and dual use products, technology and services to foreign persons or organizations*

  - *Dual Use: commercial items that could have military or proliferation applications*

    - *Ex: computer technology and software, sensors, lasers*

  - *Primarily for national security purposes*

Purpose of Export Control Program

- *To ensure that products, technology & services that are exported to foreign persons or organizations are transferred in compliance with United States export control regulations*

    - *Licenses: Appropriate export licenses are obtained prior to the transfer of items to foreign entities*

    - *Training: Organizations and staff affected by these regulations are being trained to identify issues of export control that may arise*

    - *Non-compliances: Non-compliances are reported & addressed quickly & effectively*

Why Do We Care (about Export Control)?

- *It's the law*

- *These regulations can affect:*

  - *What type of research we do*

  - *Who we hire*

  - *Who we can share information with*

  - *Who we work with (collaborators / sponsors)*

  - *Where we ship items*

  - *What items we ship*

  - *Level of security for projects / labs*

- *Fines and penalties can be <u>very</u> severe:*

  - *Fines from $100s to $millions*

  - *Jail time*

  - *Levied against offending person and organization*

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Current Regulations

Parameter	ITAR	EAR	FACR
Name of Regulation	International Traffic in Arms Regulations	Export Administration Regulations	Foreign Asset Control Regulations
Agency	State	Commerce	Treasury
What Does Regulation Control?	Military equipment & information	Dual use items & information	Foreign assets (bank accounts)
Control Listing	USML (United States Munitions List)	CCL (Commerce Control List)	Specially Designated Nationals List
Comments	Treats all foreign destinations the same	Need for license depends on commodity, destination country, reason for control & exemptions	Depends on who (person or organization) you are communicating with

- *Please note that other government agencies maintain export regulations but they have less of an affect on UW-Madison activities*

- *Different regulations, agencies, lists & means of implementation*

- *They can be very difficult to navigate*

Contact Information

INTRODUCTION TO EXPORT CONTROL

For Additional Information, Contact:

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