University Of Wisconsin - Madison

EXPORT CONTROL PROGRAM
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Export Control-Project Assessment Process

When?
- The process was rolled out in WISPER on June 10, 2013.

Why?
- The federal government has regulations to control the transfer of items, information, data, software and technology to foreign persons and organizations.
- Export licenses are required for items on the Commerce Control List (CCL, 15 CFR 774) and the US Munitions List (ITAR, 22 CFR 120-130). Ex: pathogenic biological agents, satellite technology, encryption software, focal plane arrays.
- A license may also be necessary if a foreign person is working on a project in the US or receives controlled information within the US. This is called as a "deemed export." The requirements for deemed export vary depending on the type of project and the country of origin of the foreign person(s).

What?
- Under the WISPER General Tab, Compliance Question 8 reads: *Does the project involve research or services in a scientific, engineering or technology field?*
  - No = done with export control assessment
  - Yes = answer follow up questions on the Projects Tab, if project is awarded
    - If yes, you will have to answer two additional questions:
      - Does the project include *Controlled Technology*?
      - Is an *Export or Deemed Export* planned as part of this project?
        - If Yes to both questions = complete Export Control Assessment form

- The Export Control Assessment (ECA) is a form with 9 yes or no questions to determine if an export license will be needed.
  - The Export Control Assessment is located at:
    https://kb.wisc.edu/images/group156/shared/ExportControl/ecassessmentform.pdf
  - It is the PI's responsibility to complete the ECA and then forward it to the Export Control Office for review.

- If you are unsure about the WISPER questions and/or the ECA, please contact the Export Control Office as noted below.

  NOTE: Answering yes to any of the WISPER questions will not prevent you from submitting a proposal or setting up an award.

Resources
- Please visit https://research.wisc.edu/respolcomp/exportcontrol/ for information regarding export control, such as trainings, FAQs, copies of this handout, and links to the CCL and Munitions List.

Contacts
If you have any questions please feel free to contact either Tom Demke tom.demke@ssec.wisc.edu 608-262-8659 or Bethany Nelson bcnelson2@grad.wisc.edu 608-261-1128 or exportcontrol@grad.wisc.edu.